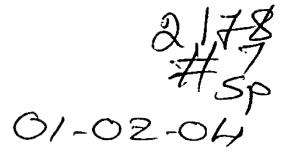
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

WALL, Llewellyn

Appl: No.: 09/888,221

Filed: June 23, 2001

For: Component Models

Art Unit: to be assigned

Examiner: to be assigned

Atty. Dkt.: 71608/7080

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Technology Center 2100

Information Disclosure Statement

Commissioner of Patents
U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

Submitted herewith is a listing of documents known to Applicant and/or his attorney incompliance with the requirements of 37 C.F.R. § 1.56. Copies of the listed documents (with the exception of U.S. Patents) are also enclosed.

Applicant does not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the present application. This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made.

Consideration of the cited documents and making the same of record in the prosecution of the above-captioned application are respectfully requested.

Applicants do not believe any fees are due for the submission of this Information Disclosure Statement. However, the Commissioner is hereby authorized to charge any fee deficiency to our Deposit Account No. 06-1135 under Order No. 71608/7080.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

W. Brian Edge

Reg. No. 51,642

Attorney for Applicant

Date December 30, 2003

1801 K Street, N.W., Suite 401L Washington, DC 20006-1201

Phone: (202) 419-7013

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Sheet 1 of 12

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June 23, 2001

Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office

Application Number Docket Number 09/888,221 7080/71608

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Applicant Llewellyn WALL **Group Art Unit Filing Date**

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Sheet <u>3</u> of <u>12</u>

Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office

DEC 3 0 2003

Docket Number 7080/71608 Application Number 09/888,221

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Applicant
Llewellyn WALL

Filing Date
June 23, 2001

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EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
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Form PTO-1449 U.S

J.S. CAMENT Pent of Commerce Patent and Trademark Office

7080/71608

Application Number 09/888,221

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Applicant Llewellyn WALL

June 23, 2001

Filing Date

Docket Number

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Sheet	5	of	12

Form PTO-1449

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of 12 Sheet 6

Application Number Machartment of Commerce **Docket Number** Form PTO-1449 09/888,221 7080/71608 Patent and Trademark Office **Applicant** INFORMATION DISCLOSURE CITATION Llewellyn WALL IN AN APPLICATION **Group Art Unit** Filing Date (Use several sheets if necessary) June 23, 2001 **U. S. PATENT DOCUMENTS FILING DATE SUB EXAMINER** CLASS NAME DATE **DOCUMENT NUMBER CLASS** IF APPROP. INITIAL DEC 3 Tedhnology Center 2100 FOREIGN PATENT DOCUMENTS **Translation** SUB **CLASS** COUNTRY DATE DOCUMENT NUMBER **CLASS** Yes No **WIPO** 5/2000 WO 00/25223 **WIPO** 5/2000 WO 00/27061 **WIPO** 5/2000 WO 00/28427 **WIPO** 5/2000 WO 00/29976 5/2000 **WIPO** WO 00/29980 (Including Author, Title, Date, Pertinent Pages, Etc.) OTHER DOCUMENTS Karpinski, "Tools Rein In Data Collection - Web Merchants Grapple With User-Controlled Profiles"; InternetWeek, March 29, 1999 Karpinski, "Vendors Balance Power Personalization With Privacy" InternetWeek, February 26, 1998 Lach, "Market Research: Reading Your Mind, Reaching Your Wallet", American Demographics, November 1998 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet 7 of 12

Application Number U.S. Department of Commerce **Docket Number** Form PTO-1449 09/888,221 7080/71608 Patent and Trademark Office **Applicant** INFORMATION DISCLOSURE CITATION Llewellyn WALL IN AN APPLICATION **Group Art Unit** Filing Date (Use several sheets if necessary) June 23, 2001 **U. S. PATENT DOCUMENTS** SUB **FILING DATE EXAMINER CLASS** DATE NAME **DOCUMENT NUMBER** IF APPROP. **CLASS** INITIAL RECEIVE DEC 3 1 2003 Technology Center 2100 FOREIGN PATENT DOCUMENTS **Translation SUB** CLASS COUNTRY DATE DOCUMENT NUMBER **CLASS** Yes No 5/2000 **WIPO** WO 00/30003 5/2000 **WIPO** WO 00/30008 6/2000 **WIPO** WO 00/31671 6/2000 **WIPO** WO 00/33160 **WIPO** 6/2000 WO 00/33224 **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.) Lasica, "It's time to get personal online"; AJR Newslink, December 1998 Malik, "Macromedia hums the e-business tune"; Forbes.com, October 7, 1999 Medford, "know who i am"; PC Magazine, January 16, 2001, pages 136-148 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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Temperment of Commerce **Application Number Docket Number** Form PTO-1449 7080/71608 09/888,221 Patent and Trademark Office **Applicant** INFORMATION DISCLOSURE CITATION Llewellyn WALL IN AN APPLICATION **Group Art Unit** Filing Date (Use several sheets if necessary) June 23, 2001 **U. S. PATENT DOCUMENTS SUB FILING DATE EXAMINER CLASS** NAME DATE **DOCUMENT NUMBER** IF APPROP. **CLASS** INITIAL DEC 3 1 2003 Technology Center 2100 **FOREIGN PATENT DOCUMENTS Translation** SUB **CLASS** COUNTRY DATE DOCUMENT NUMBER **CLASS** Yes No **WIPO** WO 00/33228 6/2000 8/2000 **WIPO** WO 00/51050 11/2000 **WIPO** WO 00/67160 12/2000 WO 00/73958 **WIPO WIPO** WO 01/06398 1/2001 **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.) Medford, "Personalization"; PC Magazine, January 4, 2001 Penenberg, "Me dot com"; Forbes.com, May 28, 1998 Rapoza, "Pondering the possibilities of the 'semantic web'"; eWeek, October 20, 1999 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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Sheet 11 of __12 **Application Number** U.S. Department of Commerce **Docket Number** Form PTO-1449 09/888,221 7080/71608 Patent and Trademark Office **Applicant INFORMATION DISCLOSURE CITATION** Liewellyn WALL IN AN APPLICATION **Group Art Unit Filing Date** (Use several sheets if necessary) June 23, 2001 **U. S. PATENT DOCUMENTS FILING DATE** SUB **EXAMINER CLASS** DATE NAME **DOCUMENT NUMBER** IF APPROP. **CLASS** INITIAL Technology Center 2100 FOREIGN PATENT DOCUMENTS Translation SUB COUNTRY **CLASS** DATE DOCUMENT NUMBER **CLASS** Yes No (Including Author, Title, Date, Pertinent Pages, Etc.) OTHER DOCUMENTS Tebbe, "Between the Lines - Personalized Web Sites may become common as others join the bandwagon"; Infoworld, April 20, 1998 Walsh, "Personal data standards on the way"; Infoworld, February 22, 1999 Wilde, "Personal Business Web Sites"; Informationweek, August 9, 1999 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance

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Sheet 12 of 12

Application Number Docket Number Form PTO-1449 U.S. Desarros of Commerce 7080/71608 09/888,221 Patent and Trademark Office **Applicant** INFORMATION DISCLOSURE CITATION Llewellyn WALL IN AN APPLICATION **Group Art Unit** Filing Date (Use several sheets if necessary) June 23, 2001 **U. S. PATENT DOCUMENTS FILING DATE** SUB **EXAMINER CLASS DOCUMENT NUMBER** NAME DATE IF APPROP. **CLASS** INITIAL Technology Center 2100 FOREIGN PATENT DOCUMENTS **Translation** SUB COUNTRY **CLASS** DATE DOCUMENT NUMBER CLASS Yes Νo (Including Author, Title, Date, Pertinent Pages, Etc.) **OTHER DOCUMENTS** Zoellick, "Getting A Handle On Personalization"; Planet IT, February 18, 1999 Author unknown, "Give Your Visitors What They Want"; undated, printed from websitejournal.netscape.com/interview/101398_allen.html on April 17, 2001 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.